

**DECLARATION FOR PATENT APPLICATION  
SOLE OR JOINT**

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled:

**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO SOTCK OPTION AND PURCHASE PLANS**  
the specification of which is attached hereto.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION WHICH IS MATERIAL TO THE EXAMINATION OF THIS APPLICATION IN ACCORDANCE WITH TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, INsofar AS THE SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE, §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION:

US 60/182,399 (Application Serial Number)	14 February 2000 (Filing Date)	Abandoned (STATUS: Patented, Pending, Abandoned)
_____ (Application Serial Number)	_____ (Filing Date)	_____ (STATUS: Patented, Pending, Abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith (List name and registration number).

(LIST SENIOR PATENT COUNSEL AND ATTORNEY HANDLING CASE WITH PATENT OFFICE REGISTRATION NUMBERS.)

- |  |                                   |
|--|-----------------------------------|
| Leslie Gladstone Restaino, Reg. No. 38,893 | Frank J. DeRosa, Reg. No. 26,543  |
| Seth H. Ostrow, Reg. No. 37,410            | Pamela G. Maher, Reg. No. 40,712  |
| Jonathan T. Kaplan, Reg. No. 38,935        | Ralph F. Hoppin, Reg. No. 38,494  |
| Matthew J. Marquardt, Reg. No. 40,997      | Katrine A. Levin, Reg. No. 41,941 |
| Michael Malish, Reg. No. 41,968            | Silvana Merlino, Reg. No. 44,237  |
| Frederick Yu, Reg. No. 45,251              | Brooke W. Quist, Reg. No. 45,030  |
| James W. Woods, Reg. No. 47,184            | Mauri Aven, Reg. No. 42,275       |

SEND CORRESPONDENCE TO: Brown Raysman Millstein Felder & Steiner LLP  
55 Madison Avenue, 4<sup>th</sup> Floor  
Morristown, New Jersey 07960

DIRECT TELEPHONE CALLS TO: Leslie Gladstone Restaino, Esq.  
(973) 285-3242

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF JOINT INVENTOR SHIELDS, Lawrence P.  
INVENTOR'S SIGNATURE [Signature] Date 5/16/01  
RESIDENCE Brooklyn, New York, United States of America (County of Kings)  
CITIZENSHIP United States of America  
POST OFFICE ADDRESS 60 Pineapple Street  
Brooklyn, New York 11201, United States of America

Serial No. 09/779,114  
Filed February 8, 2001**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO  
STOCK OPTION AND PURCHASE PLANS****ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF NON-SIGNING  
INVENTORS WHO REFUSE TO SIGN OR CANNOT BE REACHED (37 C.F.R. 1.47(a))**


I, Lawrence P. Shields, am a joint inventor for the above patent application and have signed this application on my own behalf. I am also signing this declaration under 37 C.F.R. 1.47(a) on behalf of the non-signing joint inventors, particulars for whom are:

Keith Carsten  
1009 Barringer Drive  
Danville, California 94506

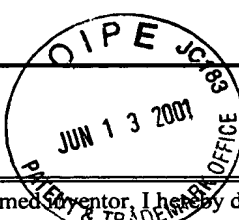
Robert H. Maurer  
2 Crabtree Lane  
Levittown, New York 11756

Michael Cranor  
1705 Blackjack Drive  
Round Rock, Texas 78681

Accompanying this declaration is a Petition under 37 C.F.R. 1.47(a) in Support of Filing on Behalf of Non-Signing Inventors and the petition fee of \$130.00.

  
\_\_\_\_\_  
Lawrence P. Shields

  
\_\_\_\_\_  
Date



**DECLARATION FOR PATENT APPLICATION  
SOLE OR JOINT**

Attorney's Docket No.: 4034-57

Serial No. 09/779,114

Filed February 8, 2001

As a below named inventor, I hereby declare that:

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Priority Claimed

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

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Yes	No

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<input type="checkbox"/>	<input type="checkbox"/>
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Matthew J. Marquardt, Reg. No. 40,997

Michael Malish, Reg. No. 41,968

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Frank J. DeRosa, Reg. No. 26,543

Pamela G. Maher, Reg. No. 40,712

Ralph F. Hoppin, Reg. No. 38,494

Katrine A. Levin, Reg. No. 41,941

Silvana Merlino, Reg. No. 44,237

Brooke W. Quist, Reg. No. 45,030

Mauri Aven, Reg. No. 42,275

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DIRECT TELEPHONE CALLS TO: Leslie Gladstone Restaino, Esq.  
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FULL NAME OF JOINT INVENTOR BHARGAVA, Amod

INVENTOR'S SIGNATURE Amod Bhargava

Date 5/18/01

RESIDENCE North Andover, Massachusetts, United States of America (County of Essex)

CITIZENSHIP India

POST OFFICE ADDRESS 22 Ridge Way

North Andover, Massachusetts 01845, United States of America

**DECLARATION FOR PATENT APPLICATION  
SOLE OR JOINT**

Attorney's Docket No.: 4034-57

Serial No. 09/779,114

Filed February 8, 2001

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_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

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Jonathan T. Kaplan, Reg. No. 38,935  
Matthew J. Marquardt, Reg. No. 40,997  
Michael Malish, Reg. No. 41,968  
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SEND CORRESPONDENCE TO: Brown Raysman Millstein Felder & Steiner LLP  
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DIRECT TELEPHONE CALLS TO: Leslie Gladstone Restaino, Esq.  
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FULL NAME OF JOINT INVENTOR CARSTEN, Keith

INVENTOR'S SIGNATURE *Keith Carsten* Date 6/4/07

RESIDENCE Danville, California, United States of America (County of Contra Costa)

CITIZENSHIP United States of America

POST OFFICE ADDRESS 1009 Barrenger Drive  
Danville, California 94506, United States of America

## DECLARATION FOR PATENT APPLICATION—SOLE OR JOINT (Continued)

Attorney's Docket No.: 4034-57 PCT - Page 11

Serial No. 09/779,114

Filed February 8, 2001

**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO  
STOCK OPTION AND PURCHASE PLANS****ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF NON-SIGNING  
INVENTORS WHO REFUSE TO SIGN OR CANNOT BE REACHED (37 C.F.R. 1.47(a))**

I, Keith Carsten, am a joint inventor for the above patent application and have signed this application on my own behalf. I am also signing this declaration under 37 C.F.R. 1.47(a) on behalf of the non-signing joint inventors, particulars for whom are:

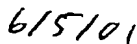
Robert H. Maurer  
2 Crabtree Lane  
Levittown, New York 11756

Michael Cranor  
1705 Blackjack Drive  
Round Rock, Texas 78681

Accompanying this declaration is a Petition under 37 C.F.R. 1.47(a) in Support of Filing on Behalf of Non-Signing Inventors and the petition fee of \$130.00.



Keith Carsten



Date

# DECLARATION FOR PATENT APPLICATION SOLE OR JOINT

Serial No. 09/779,114  
Filed February 8, 2001

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## SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION AND PURCHASE PLANS

the specification of which is attached hereto.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

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(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
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_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

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James W. Woods, Reg. No. 47,184

Frank J. DeRosa, Reg. No. 26,543  
Pamela G. Maher, Reg. No. 40,712  
Ralph F. Hoppin, Reg. No. 38,494  
Katrine A. Levin, Reg. No. 41,941  
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SEND CORRESPONDENCE TO: Brown Raysman Millstein Felder & Steiner LLP  
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Morristown, New Jersey 07960

DIRECT TELEPHONE CALLS TO: Leslie Gladstone Restaino, Esq.  
(973) 285-3242

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FULL NAME OF JOINT INVENTOR BREWSTER, George  
INVENTOR'S SIGNATURE [Signature] Date 5/16/01  
RESIDENCE Chatham Township, New Jersey, United States of America (County of Morris)  
CITIZENSHIP United States of America  
POST OFFICE ADDRESS 44 Meyersville Road  
Chatham Township, New Jersey 07028, United States of America

**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO  
STOCK OPTION AND PURCHASE PLANS**

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF NON-SIGNING  
INVENTORS WHO REFUSE TO SIGN OR CANNOT BE REACHED (37 C.F.R. 1.47(a))**

I, George Brewster, am a joint inventor for the above patent application and have signed this application on my own behalf. I am also signing this declaration under 37 C.F.R. 1.47(a) on behalf of the non-signing joint inventors, particulars for whom are:

Keith Carsten  
1009 Barringer Drive  
Danville, California 94506

Robert H. Maurer  
2 Crabtree Lane  
Levittown, New York 11756

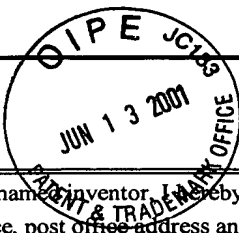
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George Brewster

6-1-01

Date

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Brooke W. Quist, Reg. No. 45,030  
Mauri Aven, Reg. No. 42,275SEND CORRESPONDENCE TO: Brown Raysman Millstein Felder & Steiner LLP  
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FULL NAME OF JOINT INVENTOR HUMZA, James A.INVENTOR'S SIGNATURE [Signature]Date 5/16/01RESIDENCE Skillman, New Jersey, United States of America (County of Somerset)CITIZENSHIP United States of AmericaPOST OFFICE ADDRESS 4 Moselem Springs Court  
Skillman, New Jersey 08553, United States of America



**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO  
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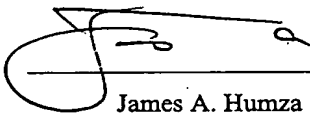
I, James A. Humza, am a joint inventor for the above patent application and have signed this application on my own behalf. I am also signing this declaration under 37 C.F.R. 1.47(a) on behalf of the non-signing joint inventors, particulars for whom are:

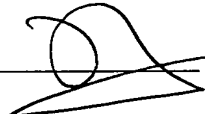
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Round Rock, Texas 78681

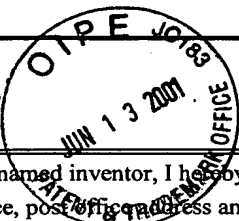
Accompanying this declaration is a Petition under 37 C.F.R. 1.47(a) in Support of Filing on Behalf of Non-Signing Inventors and the petition fee of \$130.00.

  
James A. Humza



2/8/01

Date



**DECLARATION FOR PATENT APPLICATION  
SOLE OR JOINT**

Attorney's Docket No.: 4034-57

Serial No. 09/779,114  
Filed February 8, 2001

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled:

**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO SOTCK OPTION AND PURCHASE PLANS**

the specification of which is attached hereto.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION WHICH IS MATERIAL TO THE EXAMINATION OF THIS APPLICATION IN ACCORDANCE WITH TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

Priority Claimed

☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, INSOFAR AS THE SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE, §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION:

US 60/182,399 14 February 2000 Abandoned  
(Application Serial Number) (Filing Date) (STATUS: Patented, Pending, Abandoned)

\_\_\_\_\_  
(Application Serial Number) (Filing Date) (STATUS: Patented, Pending, Abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith (List name and registration number).

(LIST SENIOR PATENT COUNSEL AND ATTORNEY HANDLING CASE WITH PATENT OFFICE REGISTRATION NUMBERS.)

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DIRECT TELEPHONE CALLS TO: Leslie Gladstone Restaino, Esq.  
(973) 285-3242

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF JOINT INVENTOR MOONEY, James P.

INVENTOR'S SIGNATURE [Signature] Date May 16, 2001

RESIDENCE River Vale, New Jersey, United States of America (County of Bergen)

CITIZENSHIP United States of America

POST OFFICE ADDRESS 105 Coopers Lane

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**SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO  
STOCK OPTION AND PURCHASE PLANS**

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF NON-SIGNING  
INVENTORS WHO REFUSE TO SIGN OR CANNOT BE REACHED (37 C.F.R. 1.47(a))**

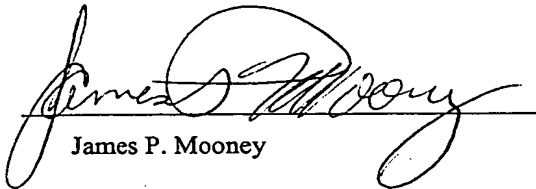
I, James P. Mooney, am a joint inventor for the above patent application and have signed this application on my own behalf.  
I am also signing this declaration under 37 C.F.R. 1.47(a) on behalf of the non-signing joint inventors, particulars for whom are:

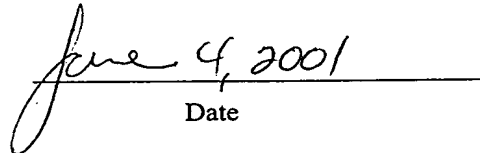
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Accompanying this declaration is a Petition under 37 C.F.R. 1.47(a) in Support of Filing on Behalf of Non-Signing Inventors and the petition fee of \$130.00.

  
James P. Mooney

  
Date